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## PATENT SPECIFICATION

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## (54) ARTIFICIAL KNEE JOINT

(71) We, THE GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO, a body corporate organized and existing under the laws of the Province of Ontario, Canada, of Simcoe Hall, University of Toronto, Toronto, Ontario, Canada, do hereby declare the invention, for which we pray that a patent may be granted to us, and the method by which it is to be performed, to be particularly described in and by the following statement:—

This invention relates to an artificial knee prosthesis, for implantation by surgery into a knee joint as a partial replacement thereof.

The natural knee joint comprises the bottom part of the femur, constituted by the two condyles, the lower parts of the surfaces of which bear upon the complementary shaped upper surface plateaus of the tibia, through the intermediary of cartilage. Connection through the knee is provided by means of ligaments which also provide joint stability and help to absorb stresses applied to the knee. The femur, cartilage and tibia are normally subjected to fairly heavy compression loading, being called upon to support substantial parts of the weight of the body.

Movement in the normal knee is a complex movement which includes rocking, gliding and axial rotation. Starting from the full extension position, the movement is one of axial rotation of the femur about the tibia for about the first 10° of rotation. Then this motion converts to a rocking movement in which the femoral condyles roll posteriorly on the tibial plateaus. At about 20° of flexion, the type of movement changes again, to a gliding motion in which successive points on the femoral condyles slide forward on the tibial plateaus until full flexion is obtained.

The cartilage located between the femoral condyles and tibial plateaus effectively ensures free, smooth and painless flexion of the knee joint in the normal healthy knee. However, when the cartilage becomes damaged, diseased or inflamed, it

ceases to function properly and flexion of the knee becomes difficult and painful. This is effectively what happens in various types of arthritis. To alleviate this condition, it is often necessary to remove the cartilage surgically, in whole or in part, with the result that the knee joint has no component or an inadequate component ensuring its free, smooth flexion.

The present invention provides an artificial knee prosthesis and component parts thereof, for insertion into a knee joint to assist in the provision of free, smooth flexion in the absence of the cartilage, or in the presence of a defective cartilage.

Thus according to the present invention, there is provided an artificial knee joint for surgical insertion into a knee, comprising at least one artificial femoral member of durable, rigid, smooth biocompatible first material, the femoral member having a rear portion with a rear, lower bearing surface which is arcuate polycentred along the length thereof and arcuate transverse to the length thereof, and a front portion which has planar parallel side walls extending forwardly from the rear portion, the front portion having a front end surface which extends perpendicularly to the side walls thereof, and at least one artificial tibial member having a smooth shallow concave upper surface of durable biocompatible second material, said upper surface constituting the bearing surface of the tibial member and adapted to bear against the arcuate bearing surface of the femoral member when in use, the second material being harder than the first material.

The first material comprising the femoral member according to the present invention is preferably a hard, rigid plastics material such as high density polyethylene, polyester, nylon, polytetrafluoroethylene resin, or hard rigid silicone resin. Of these materials, high density polyethylene and polyester are preferred. These materials are readily available, relatively cheap, are inert and medically acceptable for prolonged contact with living organisms, readily shapable to the required shapes and

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configurations, and sufficiently strong, hard and rigid to allow their use over very extended periods of time. The upper surface of the tibial member is preferably of highly polished metal of a biocompatible type, e.g. stainless steel, a cobalt-chromium alloy, titanium and its alloys. The provision of the femoral member of such hard plastics resin material to bear against metal is particularly advantageous, since such an arrangement provides contacting surfaces of very low coefficient of friction, and in use minimizes the rate of wear of the two components which contact each other, substantially reducing the likelihood that these parts will require replacement on account of wear during the life of the patient.

The artificial knee joint of the present invention, after installation, is thus of the unconstrained type, since it does not of itself include any connecting means such as hinges constraining the relative movement of the knee, but instead retains the original ligaments of the knee for connection between and control of the movements of the relative parts of the knee. It can thus be considered as a surface prosthesis.

Preferably, the rear portion of the femoral member according to the present invention has a greater width than the front portion, and the arcuate bearing surface is broader than the superior surface. The front surface is perpendicular to the sides of the front portion, so as to present a superior surface of large area for load bearing purposes to the femoral condyle, consistent with relative ease of installation. Such an arrangement minimizes the stresses of load bearing and reduces the tendency to split the femur when load is taken on the prosthesis. It will be appreciated that the terms front, rear, superior and inferior used in respect of the femoral member refer to positions of the various parts with reference to the knee joint after installation as herein-after described.

Preferably also, the superior surface of the femoral member presents three different planar portions, angularly disposed relative to one another. These planar portions take the load when the knee is at various positions. A first planar portion takes the load when the knee is at full extension, a second planar portion takes load when the knee is at an intermediate position between full flexion and full extension, and the third planar portion takes the load when the knee is at about 90° flexion.

In a particularly preferred form, the front end face, or superior surface, which is to be received in a condyle, is provided with a longitudinally extending groove, and a radio-opaque marker wire is provided in the central groove. The marker wire is suitably of metal, for example cobalt chromium

alloy, stainless steel, titanium and its alloys. The marker wire is visible to x-rays, whereas the other parts of the femoral member are of plastics material and are transparent to x-rays. The tibial member is at least partly of metal and is hence visible to x-rays. The provision of the marker wire on the femoral member enables the surgeon to check the artificial knee prosthesis periodically after surgical implantation thereof, by taking x-ray picture of the repaired knee. By measuring the distance between the marker wire and the tibial member, the surgeon can check for wear of the femoral member caused by use.

Also in the preferred embodiment, the femoral member is provided on its superior surface with a plurality of transversely extending grooves, which serve to assist in the anchoring of the femoral member firmly in the bone.

The tibial member may be in the form of a slab of which the upper surface is shallow concave, and is adapted to receive thereon the arcuate inferior surface of the femoral member. The upper surface of the tibial member is of highly polished metal, so that the arcuate inferior surface of the femoral member may bear against it and allow relatively free rocking, gliding and axial relative movement between the members even under load. The lower surface of the tibial member is suitably of metal, and is preferably planar and roughened so as to assist in the anchoring of the tibial member at the desired location on the tibia bone. In the alternative, the lower surface may be provided with projections, to assist in anchoring. The tibial member is installed at the edge of the tibial bone, so as to take advantage of the harder edge portions of the bone for supporting the tibial member firmly and without subsequent sinking. The shape of the tibial member, as viewed in plan, preferably conforms approximately to the overall shape of the knee joint, and has a generally semi-circular edge and straight edge.

In some cases, it is necessary to repair a whole knee joint, removing all or substantially all of the cartilage from between the femur and the tibia. In such cases, i.e. a total knee replacement, two artificial femoral members are used, one secured in each condyle of the femur. Similarly, two artificial tibial members are used, secured to the top of the tibia in side-by-side relationship with their straight edges presented towards each other, so that each femoral member bears upon a separate tibial member. In other cases, it may be found by the surgeon that only a portion of the knee or cartilage is damaged, so that only half of the knee needs to be repaired. In such case, i.e. a half knee replacement, one femoral

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member is used, bearing against one appropriately positioned tibial member.

It will be appreciated that the femoral members and the tibial members according to the present invention can be made in a variety of different sizes, so as to offer to the surgeon a pair of prosthetic components generally appropriate for the size of the patient's knee requiring repair.

The femoral member and the tibial member are installed surgically in the knee, in using them to repair a knee joint. The knee is surgically opened and a groove is cut by means of surgical saws, drills and the like in the condyle of the femoral bone, to receive the femoral member projecting into the bone. The groove is made so that the front portion is a close fit in the groove, and the member is secured in place by application of bone cement. Similarly, a shallow groove is cut in the top of the tibia bone adjacent the edge of the bone with appropriate surgical cutting instruments, so that the tibial member is snugly received therein, with its lower surface presented downwardly into the bone. This may similarly be secured in place by means of bone cement, which is normally self-curing polymethylmethacrylate cement.

In the installation of the prosthesis of the present invention, the surgeon can make adjustments to the relative positions of the parts of the knee to correct various abnormalities, by varying the height on the tibia at which the tibia member is installed. Thus in a total or half knee replacement, correct ligamentary lengths can be restored in the knee, by installing one or both tibial members so as to present the upper surface thereof at the correct height. Further in a total knee replacement, the relative heights of the upper surfaces of the two tibial members can be adjusted so as to correct misalignments of the original knee joint. By this means, varus (bow-legged) and valgus (knock-kneed) deformities of up to 20° can be corrected.

In the most preferred embodiment of the invention, the rear surface, constituting the inferior surface, of the femoral chamber is arcuate part circular in transverse section throughout its length. Such an arrangement allows for surgeon error in the surgical installation of the prosthesis, in that it is not essential that the groove cut in the femoral condyle to receive the femoral member be exactly in the sagittal plane. Nor is it necessary in the case where a total knee replacement is undertaken, that the two femoral members be installed exactly parallel. The part circular configuration of the inferior surface allows for such small deviations from exact alignment. In a total knee replacement, the two femoral members should be aligned in the coronal

plane, for fully correct installation.

The provision of an artificial knee joint according to the present invention, where the lower tibial member or at least the upper surface thereof is of a relatively harder material than the upper femoral member, offers a number of significant and unexpected advantages. In use, the parts are sliding against one another every time the knee joint is moved, and often are called upon to bear a significant part of total body weight whilst they are sliding. Such sliding under load, over extended periods of time, will result in the relatively harder material forming microscopic pits and grooves in the softer material. An accumulation of such pits and particles in the vicinity of the relatively sliding parts will markedly increase the friction in the joint by effectively roughening the sliding surfaces. The present arrangement, with the harder material at the bottom, provides that the loose particles collect on the surface of the lower member and pitting of the surface of the lower member is avoided, so that the surface remains smooth, and loose particles are swept off this surface by repeated movements of the upper member sliding on the lower surface. If the arrangement of parts were reversed, the harder upper member would pit the upper surface of the lower member, loose particles would accumulate in and become embedded in such pits and grooves, rather than removed, and the surface would become roughened so that the friction in the knee joint would be increased to an undesirable extent.

Both the femoral member and the tibial member according to the present invention are designed so that they can be surgically installed with a minimum of bone removal, and a minimum cutting and drilling depth into the bones. Should the prosthesis fail to restore sufficient function or provide sufficient relief in any case, therefore, secondary surgical procedures are possible because adequate reserves of original bone remain.

A specific embodiment of the invention is illustrated in the accompanying drawings, in which:

FIGURE 1 is a perspective view of a femoral member constituting a part of a knee joint according to the present invention, generally from below;

FIGURE 2 is a perspective view of the femoral member of Figure 1, generally from above;

FIGURE 3 is a perspective view of a tibial member constituting a part of a knee joint according to the present invention, generally from the top and front;

FIGURE 4 is a perspective view of the tibial member of Figure 3, generally from the bottom and rear.

FIGURE 5 is a diagrammatic front view.

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partly in section of a knee joint incorporating pairs of the components illustrated in Figures 1—4:

5 **FIGURE 6** is a diagrammatic side view, partly in section of the knee joint shown in Figure 5.

In the drawings, like reference numerals indicate like parts.

10 With reference to Figures 1 and 2, the femoral member generally designated 10 consists of a rear portion 11 and a front portion 12, which has parallel planar side walls. The rear portion 11 has an arcuate rear bearing surface 13, constituting the inferior surface of the femoral member. In the plane of the side walls of the front portion 12, i.e. the sagittal plane when the prosthesis is installed, this surface 13 is arcuate polycentred. In transverse section, 20 the surface 13 is arcuate part circular substantially along the whole of its length.

The front portion 12 is integral with the rear portion 11, but of substantially less width, so that the rear portion 11 extends 25 laterally beyond and overlies the front portion 12. The front portion 12 is provided on its front face, which constitutes the superior surface of the femoral member, with a series of transverse grooves such as 30 14 and a central longitudinal groove 15. A marker wire 16 of cobalt chrome is located at the bottom of the groove 15 and extends substantially along the bottom of the whole groove 15. The superior surface has a first 35 planar portion 17, a second planar portion 18 and a third planar portion 19, angularly disposed to one another, for load bearing purposes after installation in the knee.

40 With reference to Figures 3 and 4, the tibial member generally designated 20 is of a slab of which the upper surface 21 is shallow concave, and the lower surface 22 is planar. The tibial member 20 is made wholly of metal, with the upper surface 21 being 45 highly polished and the lower surface 22 being roughened. One edge 23 is substantially semi-circular, and the other edge 24 straight, so as to be generally appropriate for the overall shape of the knee joint. Pits 50 24A are provided at the edges of the upper surface 21 to allow the tibial member to be gripped by instruments during handling and installation. Corresponding pits, not illustrated, are provided on the lower 55 surface 22.

60 Figures 5 and 6 illustrate diagrammatically a knee joint with pairs of femoral members 10 and tibial members 20 surgically implanted therein. The knee joint comprises the femur 25 terminating in condyles 26 and 27, the tibia 28 and the kneecap 29. The femoral members 10 are installed by cutting grooves in the condyles 26, 27 of the appropriate size to receive as 65 a snug fit the front portions 12 of the

femoral members 10. The inside surfaces of the rear portions 11 of the femoral members 10 rest upon and extend around the outer surfaces of the condyles 26, 27, so that the bearing surfaces 13 constitute the inferior surfaces of the femoral members and extend outwardly beyond the surfaces of the condyles 26, 27. The femoral members 10 are secured in place by bone located in the transverse grooves 14 and along the front faces of the front portions 12. The marker wires 16 are placed in the longitudinal grooves 15 prior to surgical implantation of the femoral members 10.

The tibial members 20 are installed by cutting shallow depressions of the appropriate size in the upper plateau of the tibia 28, so that they extend to the edge of the tibia 28. In the installation of a complete knee joint as illustrated, they are installed side-by-side. The upper concave surfaces 21 are presented upwardly, so as to receive thereon the arcuate rear end faces 13 constituting the inferior surfaces of the femoral member 10. The tibial members 20 are 90 secured in place by bone cement applied to the lower roughened surface 22 thereof. The tibial members 20 are installed with their semi-circular front edges 23 presented outwardly, towards the side of the knee, and the straight edges 24 being presented toward each other.

As shown in Figure 6, the knee joint with the femoral member 10 and the tibial member 20 installed as described can hinge 100 in the vertical plane, the tibia 28 swinging to the right as shown in Figure 6, with end face 13 of the femoral member 10 sliding on and bearing against concave upper surface 21 of tibial member 20. Substantially the same freedom of movement of the knee is thus afforded as with a natural knee joint. At full extension as shown, the planar surface portion 19 of the femoral member 10 bears the load. At intermediate extension, planar surface portion 18 moves into position to bear the load, and at 90° flexion planar surface portion 17 will bear the load. The marker wire 16 and the tibial member 20 are both visible to x-rays, so that by measuring their relative positions from an x-ray picture, the surgeon can determine if either of the members has moved from its proper location, or if the femoral member 10 is experiencing significant amounts of wear, as the installed artificial knee joint of the invention is subjected to extended periods of use.

#### WHAT WE CLAIM IS:—

1. An artificial knee joint for surgical 125 insertion into a knee, comprising:  
at least one artificial femoral member of durable rigid, smooth, biocompatible first material;

- the femoral member having a rear portion with a rear, lower bearing surface which is arcuate polycentred along the length thereof and arcuate transverse to the length thereof;
- 5 and a front portion which has planar parallel side walls extending forwardly from the rear portion;
- 10 the front portion having a front end surface which extends perpendicularly to the side walls thereof;
- 15 and at least one artificial tibial member having a smooth shallow concave upper surface of durable biocompatible second material, said upper surface constituting the bearing surface of the tibial member and adapted to bear against the arcuate bearing surface of the femoral member when in use;
- 20 the second material being harder than the first material.
2. The knee joint of claim 1 wherein the first material is a hard rigid plastics material and the second material is a highly polished metal.
- 25 3. The knee joint of claim 1 or claim 2 wherein the first material is selected from high density polyethylene, polyester, nylon, polytetrafluoroethylene resin, or hard rigid silicon resin, and the second material is selected from stainless steel, cobalt-chromium alloys, titanium and titanium alloys.
- 30 4. The knee joint of claim 3 wherein the second material is stainless steel.
- 35 5. The knee joint of any of the preceding claims, wherein the rear end face of the femoral member constituting the inferior surface thereof is arcuate part-circular along the whole of its arcuate polycentred length, in the plan transverse to the length thereof.
6. The knee joint of any of the preceding claims wherein the front end surface of the femoral member presents three different planar portions, angularly disposed relatively to one another.
7. The knee joint of any of the preceding claims wherein the front end surface of the femoral member is provided with a plurality of transversely extending grooves.
8. The knee joint of any of the preceding claims, wherein the front end surface of the femoral member is provided with a longitudinally extending central groove, and an x-ray visible marker wire is provided in the central groove.
9. The knee joint of claim 8, wherein the marker wire is of cobalt chromium alloy, stainless steel, titanium or titanium alloys.
10. The knee joint of any of the preceding claims wherein the tibial member is a slab of which the lower surface is roughened.
11. The artificial knee joint according to any of the preceding claims wherein the lower bearing surface of the femoral member is broader than the superior, front end surface thereof.
12. An artificial knee joint for surgical insertion into a knee, substantially as herein described, with reference to the accompanying drawings.

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COMPLETE SPECIFICATION

1 SHEET

*This drawing is a reproduction of the Original on a reduced scale*

